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Express Mail Label No. EV 734133067 US Dated: 10/7/05

Docket No.: 113217.120 US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Frederick W. LARK et al.

Application No.: 10/680407 Confirmation No.: 4331

Filed: October 8, 2003 Art Unit: 3624

For: METHOD AND SYSTEM FOR COMPUTER-BASED AUCTIONING OF BASIC GENERATION SERVICES Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action on the merits. No fee is required.

Applicants request that the Examiner initial and return a copy of the enclosed Form PTO SB-08 with the next communication.

Dated: 10/7/05

Respectfully submitted,

By _____
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Registration No.: 35,120
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

Complete if Known	
Application Number	10/680,407
Filing Date	October 8, 2003
First Named Inventor	Frederick W. Lark
Art Unit	3624
Examiner Name	To Be Assigned
Attorney Docket Number	113217.120 US1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
	1	Ausubel, L.: "Insider Trading in a Rational Expectations Economy," THE AMERICAN ECONOMIC REVIEW, Dec. 1990; pgs. 1022-1041.	
	2	Ausubel, L.: "An Efficient Ascending-Bid Auction for Multiple Objects," University of Maryland, Dept. of Economics, 7 August 2002.	
	3	Ausubel, L.: "An Efficient Dynamic Auction for Heterogeneous Commodities," Univ. of Maryland, Dept. of Economics, 17 July 2002.	
	4	Ausubel, L.: "An Efficient Ascending-Bid Auction for Dissimilar Objects," Univ. of Maryland, Dept. of Economics, Jan. 4, 1996.	
	5	Ausubel, L.: "On Generalizing the English Auction," Univ. of Maryland, Dept. of Economics, Dec. 31, 1997.	
	6	Ausubel, L. et al.: "Synergies in Wireless Telephony: Evidence from the Broadband PCS Auctions," Journal of Economics and Management Strategy, 6:3, 497-527, 1997.	
	7	Ausubel, L. et al.: "Vickery Auctions with Reserve Pricing," Univ. of Maryland, Dept. of Economics, June 28, 1999.	
	8	Ausubel, L. et al.: "The Ascending Auction Paradox," Univ. of Maryland, Dept. of Economics, July 5, 1999.	
	9	Ausubel, L. et al.: "The Optimality of Being Efficient," Univ. of Maryland, Dept. of Economics, June 18, 1999.	
	10	Ausubel, L. et al.: "Auctioning Securities" Univ. of Maryland, Dept. of Economics, March 1998.	

Examiner Signature		Date Considered	

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	11	Ausubel, L. et al.: "Demand Reduction and Inefficiency in Multi-Unit Auctions," Univ. of Maryland, Dept. of Economics, July 12, 2002.	
	12	Ausubel, L.: "Put corruption under the hammer," Financial Times, December 20, 2002.	
	13	Ausubel, L. et al.: "Demand Reduction and Inefficiency in Multi-Unit Auctions," Univ. of Maryland, Dept. of Economics, March 20, 1998.	
	14	Ausubel, L.: "An Efficient Ascending-Bid Auction for Multiple Objects," University of Maryland, Dept. of Economics, Working Paper No. 97-06, June 25, 1997.	
	15	Bernard, J.C.: "Alternative Auctions Institutions for Purchasing Electric Power: An Experiment Examination," Bulk Power System Dynamics and Control IV - Restructuring, August 24-28, Santorini, Greece.	
	16	Zimmerman, R.D.: "Energy Auctions and Market Power: An Experimental Examination," Published in Proceedings of the Hawaii International Conference On System Science, January 5-8 1999, Maui, Hawaii.	
	17	Gribik, P.: "*27 Learning from California's QF Auction," Fortnightly Vol. 133, No. 8, April 15, 1995.	
	18	Ausubel, L.: "A Generalized Vickery Auction," Univ. of Maryland, Dept. of Economics, September 1999.	
	19	Underwood, C.: "A Multiple Round Ascending Auction Process Suitable for the Disposal of Radio Spectrum in New Zealand," Senior Advisor Radio Spectrum Policy, Jan. 17, 1996.	
	20	Ethier, R. et al.: "Auction Design for Competitive Electricity Markets," presented at the HICSS Conference, Jan, 7-10, 1997, Maui, HI.	

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	22	Ausubel, L. et al.: "Ascending Auctions with Package Bidding," Frontiers of Theoretical Economics, Vol. 1, Issue 1, 2002.	
	23	"Report 1B: Package Bidding for Spectrum Licenses," Submitted to Federal Commincations Commission, Submitted by Charles River Associates Incorporated and Market Design, Inc.,	
	24	Wolfram, C.D.: "Strategic Bidding in a Multi-Unit Auction: An Emprical Analysis of Bids to Supply Electricity in England and Wales," NBER Working Paper Series, Working Paper 6269, National Bureau of Economic Research, November 1997.	
	25	Cramton, Peter C.: "Money Out of Thin Air: The Nationwide Narrowband PCS Auction," Journal of Economics & Management Technology, Volume 4, Number 2, Summer 1995, 267-343.	
	26	McAfee, R.P. et al.: "Analyzing the Airwave Auction," Journal of Economoic Perspectives, Vol. 10, Number 1, Winter 1996, pages 159-175.	
	27	McMillan, J.: "Selling Spectrum Rights," Journal of Economic Perspectives, Vol. 8, Number 3, Summer 1994, pages 145-162.	
	28	Laffont, J. et al.: "Structural economic analysis of descending auctions," European Economic Review 37, 1993, pg. 329-341, North Holland.	
	29	Ausubel, L.M. et. al.: "Implications Auction Theory for New Issues Market," Brookings-Wharton Papers on Financial Services: 2002.	

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